



06-2001

A

UTILITY
PATENT APPLICATION
TRANSMITTALOur Docket No.: **I69.12-0496**Date: **Herewith**First Named Inventor: **Zine-Eddine Boutaghoul**Title: **EXTENDED ALUMINA BASECOAT ADVANCED AIR
BEARING SLIDER**Express Mail No.: **EL176997938US**

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages **[18]**
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed. Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets **[8]**
5. ☒ Oath or Declaration Total Pages **[3]**
- a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)
- [Mark Box 5 below]**
1. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
- a. ☐ Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies; or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
- ☐ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☐ Information Disclosure Statement with copies of Citations as necessary
13. ☒ Preliminary Amendment Total Pages **[4]**
14. ☒ Return Receipt Postcard (*Should be specifically itemized*)
15. ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). (Nonpublication Request)
17. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME
AND REG. NO.**Mai-Tram D. Lauer**
43,589

SIGNATURE:

ADDRESS

Kinney & Lange, P.A.
THE KINNEY & LANGE BUILDING
312 South Third Street
Minneapolis, MN 55415-1002

TELEPHONE

(612) 339-1863FAX: **(612) 339-6580**

FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

Herewith

First Named Inventor

Zine-Eddine Boutaghou

Group Art Unit

Examiner Name

Atty. Docket Number

169.12-0496

Total Amount of Payment \$ 750.00

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No. 11-0982.
Deposit Account Name: Kinney & Lange, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 101 | 710 | 201 | 355 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 106 | 320 | 206 | 160 | <input type="checkbox"/> Filing Fee |
| 108 | 710 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee |
| 114 | 150 | 214 | 75 | <input type="checkbox"/> Prov. Filing Fee |
| Subtotal (1) \$710.00 | | | | |

2. EXTRA CLAIM FEES

| | Number Claims | Prior | Extra | Fee from Below | Fee Paid |
|---------------------------|---------------|-------|-------|----------------|----------|
| Total | 14 | 20 | = 0 | x 18 = 0 | |
| Indep. | 2 | 3 | = 0 | x 80 = 0 | |
| Multiple Dependent Claims | | | = 0 | = 0 | |

Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Description |
|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple Dependent Claim |
| 109 | 80 | 209 | 40 | Reissue Independent Claims Over Original Patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$-0-

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | — |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | — |
| 139 | 130 | 139 | 130 | Non-English specification | — |
| 147 | 2,520 | 147 | 2,520 | For Filing a Request for Reexamination | — |
| 115 | 110 | 215 | 55 | Extension for reply within first month | — |
| 116 | 390 | 216 | 195 | Extension for reply within second month | — |
| 117 | 890 | 217 | 445 | Extension for reply within third month | — |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | — |
| 128 | 1,890 | 280 | 945 | Extension for reply within fifth month | — |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | — |
| 121 | 270 | 221 | 135 | Request for oral hearing | — |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | — |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | — |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | — |
| 142 | 1,270 | 242 | 650 | Utility/Reissue issue fee (inc. advance copies) | — |
| 143 | 470 | 243 | 250 | issue fee (inc. advance copies) | — |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | — |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | — |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | — |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | — |

Other fee (specify) _____

Subtotal (3) \$40.00

Signature

Mai-Tram D. Lauer
Mai-Tram D. Lauer

Reg. No.

43,589

Date

June 19, 2001

Deposit Account No.

11-0982

**REQUEST AND CERTIFICATION
UNDER**

**35 U.S.C. 122(b)(2)(B)(i)
(Nonpublication Request)**

First Named Inventor

Zine-Eddine Boutaghou

Title: EXTENDED ALUMINA BASECOAT
ADVANCED AIR BEARING SLIDER

Atty Docket Number

I69.12-0496

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 19, 2001

Date

Mai-Tram D. Lauer

Signature

Mai-Tram D. Lauer; 43,589

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**